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# Reducing Lethal Force Errors by Modulating Police Physiology

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**Objectives:** The aim of this study was to test an intervention modifying officer physiology to reduce lethal force errors and improve health. **Methods:** A longitudinal, within-subjects intervention study was conducted with urban front-line police officers ( $n = 57$ ). The physiological intervention applied an empirically validated method of enhancing parasympathetic engagement (ie, heart rate variability biofeedback) during stressful training that required lethal force decision-making. **Results:** Significant post-intervention reductions in lethal force errors, and in the extent and duration of autonomic arousal, were maintained across 12 months. Results at 18 months begin to return to pre-intervention levels. **Conclusion:** We provide objective evidence for a physiologically focused intervention in reducing errors in lethal force decision-making, improving health and safety for both police and the public. Results provide a timeline of skill retention, suggesting annual retraining to maintain health and safety gains.

**Keywords:** autonomic arousal, biofeedback, heart rate, heart rate variability, intervention, lethal force, occupational stress, performance, police, recovery, shooting, training, use of force

Researchers have identified significant error rates in the application of lethal force among police in the United States.<sup>1</sup> Lethal force errors result in significant personal and societal suffering, underscoring the urgency for effective interventions to reduce such errors. To date, interventions attempting to change psychosocial attitudes lack empirical support to improve health or demonstrate objective reductions in lethal force errors beyond what is achieved

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## Learning Objectives

- Become familiar with efforts to reduce error rates in the use of lethal force by police, including the findings of previous intervention studies.
- Summarize the new intervention seeking to modify police officers' physiology to reduce lethal force errors and improve health.
- Discuss the findings of the new intervention study, including the effects on autonomic arousal and their persistence over follow-up.

with skill-based (eg, tactical, weapons) occupational training.<sup>2</sup> This study takes a novel approach to improving police health and safety outcomes by targeting physiological regulation during stress, and enhancing recovery following threatening encounters. Research has shown that excessive or prolonged responses to threat may interfere with occupational performance and raise health risks.<sup>3-7</sup> The intervention applied in the current study aims to reduce negative health and safety outcomes by modulating the human physiological response to threat and stress, as discussed below.

Threat appraisal is a process occurring largely outside conscious awareness in neural circuits that are also responsible for imbuing meaning and personal relevance.<sup>8,9</sup> During threat appraisal, the brain coordinates and stimulates cardiovascular physiology that supports metabolic and behavioral responses to threat, similar to meeting physical demands such as exercise. This neural coordination of rapid behavioral responses happens within milliseconds.<sup>8,10,11</sup> Maladaptive physiological arousal (ie, too much or too little) in response to threat may hinder subsequent cognitive processes such as decision-making and situational awareness.<sup>12-15</sup> Research conducted with police officers suggests that it is the modulation of cardiovascular arousal, rather than simply the reduction of arousal, that may be key in reducing lethal force decision errors. For example, researchers measured indices of autonomic arousal [heart rate (HR) and heart rate variability (HRV)] among police officers immediately before, and during, two high-intensity, realistic, and threatening encounters.<sup>16</sup> Officers exhibiting excessive cardiovascular arousal before the scenarios were more likely to use lethal force when it was not necessary (ie, an error of inhibitory control), and that insufficient arousal led to missed threat cues that endangered the lives of the officers (ie, an error of disinhibition: not using lethal force when necessary).<sup>16</sup> These findings demonstrate that autonomic arousal preceded the threat exposure, strengthening the hypothesis that maladaptive arousal plays a causative role in lethal force errors.

Responding to threat requires the engagement of the autonomic nervous system (ANS), which is comprised of sympathetic and parasympathetic branches. Good physical health and cognitive function are associated with a flexible balance between the two branches.<sup>10</sup> Colloquial and empirical work often highlight activation of the sympathetic branch in response to threat, popularly referred to as the "fight or flight response." Indeed, the impact of the fight or flight response can include perceptual distortions, tunnel vision, reduced fine motor skills, and loss of situational awareness; conditions that increase the probability of decision-making errors

**TABLE 1.** Demographic Information for Participants at Each Time Point During the Study

	Pre- and Post-intervention	6-Month Evaluation	12-Month Evaluation	18-Month Evaluation
<i>n</i> (Female)	57 (7)	39 (3)	28 (3)	29 (2)
<i>M</i> age ( <i>SD</i> )	32.8 (6.3)	33.5 (6.9)	33.6 (6.8)	32.3 (6.0)
<i>M</i> years of service ( <i>SD</i> )	7.2 (5.6)	7.7 (6.4)	7.7 (7.0)	6.9 (6.6)

Sample size, mean age, mean years of service, and accompanying standard deviations are provided for pre- and post-intervention, 6-month, 12-month, and 18-month evaluations. Note that demographic data from one officer are missing.

among police.<sup>13–15</sup> Researchers have also identified that both chronic and acute stress are associated with impairments in inhibitory control (eg, shooting when not appropriate). For example, adults exposed to an intense social stressor displayed more inhibitory control errors on a subsequent rapid decision-making task than study participants not exposed to the acute social stress.<sup>12</sup> Furthermore, researchers working with combat veterans found that those reporting PTSD, an anxiety condition associated with excessive sympathetic arousal, were more likely to make inhibitory control errors on a rapid decision-making task.<sup>17</sup>

Not to be overlooked, however, is the role of the parasympathetic branch in the regulation of arousal when facing a potential threat. Parasympathetic regulation of the heart, via the vagus nerve, is central in forming a flexible response to environmental demands. What is more, parasympathetic function is related to cognitive processes, such as decision-making and inhibitory control.<sup>12,18</sup> Researchers have explored the role of parasympathetic engagement among police officers facing potentially threatening use of force encounters.<sup>19</sup> Police officers were assigned to either reality-based scenario training (experimental condition) or static shooting training on a range (control condition). Results showed that officers in the experimental condition exhibited less suppression of parasympathetic influence during threat exposure and made fewer errors than officers in the control condition, who exhibited typical parasympathetic suppression.<sup>19,20</sup> The findings contribute to the growing body of evidence showing that decision-making accuracy during threat exposure is reduced when there is a loss of parasympathetic influence.<sup>15</sup>

Maladaptive arousal associated with threat appraisal can be reduced by modifying HRV through conditioning. HRV biofeedback (HRV-BF) is one such method of teaching individuals to evoke periods of parasympathetic activation to modulate autonomic arousal during threat, and parasympathetic dominance for rapid recovery from threat (19, see p. 1 for explanation of HRV-BF). The ability to recover quickly following threat arousal is particularly relevant for police, considering how occupational stressors such as fatigue (eg, long hours, shift work) and multiple exposures to threat can impact decision-making and situational awareness.<sup>21</sup> Fatigue is associated with maladaptive autonomic arousal that results in allostatic load, defined as “wear and tear on the body” that, over time, is associated with poor health and reduced cognitive function.<sup>10,18,22,23</sup> Allostatic load also increases the probability of decision-making errors when an individual is fatigued or threatened, as evidenced by low and irregular cardiovascular arousal profiles.<sup>24</sup> Previous research with police officers demonstrates the efficacy of using HRV-BF as an intervention to reduce errors in the decision to use lethal force.<sup>25</sup> The current intervention utilized real-time HR and HRV biofeedback to stimulate physiologic changes that are consistent with parasympathetic activation. HR and HRV-BF techniques were applied during and following scenario-based threat exposures to train officers to (1) modulate autonomic arousal to match situational demands; and (2) condition rapid autonomic recovery to avoid fatigue. We hypothesized a reduction in lethal force decision errors following the intervention, and that the reduction in errors would be associated with autonomic arousal

modulation and quicker recovery following threat exposure. The longitudinal study design is used to assess how long improvements following the study intervention may last, and at what time interval retraining or “booster sessions” are recommended.

## METHODS

### Participants

Fifty-seven active duty frontline police officers volunteered to participate in our within-subjects longitudinal study (Table 1). Participants were volunteers from a pool of approximately 750 frontline officers employed by a large urban police agency in Ontario, Canada. Participants provided informed consent before volunteering and were told that they could withdraw at any time with no consequence. A total of 81% of participants (47/57) returned for at least one follow-up evaluation at 6, 12, or 18 months, indicating a low attrition rate over the follow-up period [41 male, five female; *M* age = 33.4 (*SD* = 6.8), range = 23 to 47; *M* years of service = 7.5 (*SD* = 5.8), range = 1 to 28.6]. Of the officers who made errors at baseline, 89% (eight of nine) returned for follow-up assessment. All procedures were approved by the University of Toronto Research Ethics Board for Social Sciences and Humanities. All data, materials, and methods can be made available upon request to the corresponding author, with agreement to protect the confidentiality of research participants.

### Procedure

The present study used a longitudinal repeated-measures, within-subjects experimental design (Table 2). Officers were evaluated at five time points: pre-intervention, post-intervention (ie, on the final day of the intervention), 6-month evaluation, 12-month evaluation, and 18-month evaluation. At the beginning of each intervention and evaluation session, officers were fitted with training versions of their usual police equipment (eg, baton, conducted electrical weapon, gun, OC spray, full uniform), and a portable HR monitor that adhered to their skin under their clothing (Bodyguard 2; FirstBeat Technologies LTD, Jyväskylä, FI). On the pre-intervention evaluation day, officers completed four live-action, reality-based scenarios, one of which did not require a lethal force decision and was excluded from analyses. Therefore, pre-intervention evaluations are based on three lethal force decisions. Following the morning of pre-intervention evaluation, the intervention began with classroom-based lessons on HR and HRV-BF theory (see Table 2 for schedule). Day 2 to 3 consisted of practice sessions of HR monitoring, HRV-BF, and other training techniques (described in detail in<sup>25</sup> and below) integrated during 12 scenarios. Day 4 consisted of the post-intervention evaluation that was a single extended scenario with three stages, and required three lethal force decisions. To maintain equivalency in number of decisions across time, 6-month, 12-month, and 18-month evaluations required officers to perform three scenarios with a single lethal force decision in each.

### The Intervention

The components of the intervention include a classroom portion covering psychoeducational material (eg, brain and

**TABLE 2.** Experimental Design

Schedule	Day 1 Evaluation	Day 2 Intervention	Day 3 Intervention	Day 4 Evaluation	6-Month, 12-Month, and 18-Month Evaluation
Morning	Pre-intervention evaluation (three scenarios with one shoot/no shoot decision each)	Intervention: Reality-based training scenarios integrated with HRV-BF	Intervention: Reality-based training scenarios integrated with HRV-BF	Post-intervention evaluation: Three-stage, extended scenario with three shoot/no shoot decisions	Three scenarios with one shoot/no shoot decision each. Survey measures, equipment return
Afternoon	Intervention begins: Classroom psychoeducational component	Intervention: Reality-based training scenarios integrated with HRV-BF	Intervention: Reality-based training scenarios integrated with HRV-BF	Survey measures, equipment return	

HRV-BF, heart rate variability biofeedback.  
 Evaluation sessions at 6, 12, and 18 months were held during morning and afternoon blocks. Officers would attend either a morning or afternoon session, based on their schedule availability, and complete all three scenarios.

physiology, acute stress, allostatic load and the impact of stress on performance, situational awareness), and active occupationally relevant scenario-based training (described below). The classroom portion also explains what HRV-BF is and how to use it to increase parasympathetic activation during occupational exposures. In line with HRV-BF protocol by Lehrer and Gevirtz,<sup>20</sup> participants were provided real-time, beat-by-beat HR data while engaging in HRV-BF exercises that produced a characteristic sine-wave-like curve of peaks and valleys in HR, indicating parasympathetic system activation. During the intervention period (Table 2), opportunities to engage in HRV-BF are provided during times of rest and before and after each scenario as a tool to train (1) the use of short periods of recovery to reduce allostatic load; and (2) the reduction of sympathetic dominance during psychologically threatening situations by activating parasympathetic activity.

**Reality-Based Training Scenarios**

Reality-based, live-action scenarios were utilized to enhance the ecological validity of the study, as they have been shown to stimulate motor learning neural pathways<sup>26,27</sup> and induce autonomic conditioning that is comparable<sup>28</sup> and robust to the stress of real-world encounters.<sup>29,30</sup> To enhance ecological validity, the study was conducted at an empty school to allow for indoor and outdoor scenarios (eg, vehicle stops, room/apartment, and school environments), props were used to create realistic rooms and scenes (eg, blood, simulated weapons, scene-relevant attire, and furniture), scenario actors were comprised of experienced police officers and trainers, and firearms were loaded with Safe Shot blank ammunition that mimics the sound of live fire.

To adjust for potential confounds in scenario length and presentation, all scenarios were designed by expert Use of Force Instructors with more than 10 years of experience designing occupationally relevant training scenarios. The instructors were not part of the research team to avoid any research bias, and spent upwards of 3 months scripting, practicing and refining timing, movement and verbal communication in the scenarios to make sure they were challenging, but not unrealistic, in testing the fundamental police skills of situational awareness (ie, what is happening) and lethal force decision-making (shoot/no shoot).

Although all study scenarios required a lethal force decision, the content of the encounters was different to eliminate practice effects. However, inhibitory (no-shoot) and disinhibition (shoot) lethal force decisions were distributed across all evaluation time points (nine inhibitory control decisions and six disinhibition decisions in total). Given that this was a within-subjects design, with multiple (ie, three) use of force decisions made on each

evaluation day, we are able to conclude that the order of lethal force decision type (inhibitory vs disinhibition) did not influence the probability that an error was made on subsequent decisions, as no participant made more than one error in a single evaluation day.

The scenarios used in the current study comprised of challenging encounters that officers are routinely exposed to. For the pre-intervention, 6-month, 12-month, and 18-month evaluations, scenarios involved responding to calls such as a break and enter, domestic disturbance, reported robbery, suicidal person, and an assault in progress. The post-intervention evaluation was an exceptionally challenging scenario (active school shooting). This post-test was selected to significantly challenge the officers so we could observe whether the intervention improved skills under severe stress.

**Manipulation Check**

To ensure scenarios were sufficiently realistic and stressful, changes in HR from rest to maximum during scenarios (HR\_Max) were evaluated at each time point with paired samples *t* tests (Table 3). As with the main results analyses, HR\_Max was averaged across multiple lethal force decisions performed during each evaluation. All scenarios revealed significant HR responses ( $P < 0.05$ ) with large effect sizes. Resting HR did not differ across time points [ $F(3, 55) = 1.167, P = 0.331$ ], and thus did not confound the HR\_Max index analysis described in the following section.

**Measures**

All of the following measures were recorded for each participant during the multiple scenarios presented on each of the five evaluation days.

**Use of Force Decision-Making**

Each time point evaluated three lethal force decisions. Errors in lethal force were defined as (1) failing to use lethal force when appropriate situational criteria have been met (error of disinhibition); or (2) use of lethal force when appropriate situational criteria had not been met (error of inhibition). All criteria for correct or incorrect performance were defined, observed, and evaluated by qualified and experienced Use of Force Instructors who were independent from the research study team.

**Heart Rate**

Continuous physiological HR data were recorded at a rate of 1 Hz (1 recording/sec) using Bodyguard 2 cardiovascular monitors (FirstBeat Technologies Ltd, Jyväskylä, FI) that have been validated for research purposes.<sup>31</sup> Officers wore the monitors at each time

**TABLE 3.** Manipulation Check: Stress Responses to Critical Incident Scenarios

Time	Baseline HR <i>M (SD)</i>	Max HR (During Scenario) <i>M (SD)</i>	<i>t</i>	<i>P</i>	Effect Size ( <i>d</i> )
Pre-intervention ( <i>n</i> = 57)	76.73 (10.48)	115.68 (19.57)	-16.670	0.000	2.48
Post-intervention ( <i>n</i> = 57*)	76.73 (10.48)	129.52 (25.46)	-14.499	0.000	2.71
6-month evaluation ( <i>n</i> = 39)	77.38 (10.18)	122.53 (17.78)	-17.190	0.000	3.12
12-month evaluation ( <i>n</i> = 28)	82.31 (13.62)	113.63 (16.88)	-12.631	0.000	2.04
18-month evaluation ( <i>n</i> = 27)	77.44 (11.85)	111.31 (22.15)	-9.729	0.000	1.91

Heart rate was measured in beats per minute (bpm) and averaged across multiple lethal force decision-making opportunities at each evaluation. Mean and standard deviations for all participants are provided for each time point.

HR, heart rate.

\*Average baseline HR was measured for each participant at the start of the 4-day intervention.

point for the entire duration of study. One adhesive electrode patch was applied to the skin below the officers' left collarbone and one was applied on the ribcage below the heart. Data were uploaded to a remote server, where they were de-identified (ie, officer badge number replaced with anonymous code) and analyzed offline. Two cardiovascular measures were analyzed in the present study: (1) an index of the peak HR (HR\_Max) measured during critical incident scenarios relative to each officer's own average resting baseline HR (HR\_Rest) recorded at the beginning of each evaluation day while seated, computed as  $[(HR\_Max - HR\_Rest)/(HR\_Rest)]$ , and (2) HR Recovery Time (HR\_Recovery), which indicates the time (in seconds) for the officer's HR\_Max to return to HR\_Rest. Cases where the officer's HR\_Max was equal to or less than their average HR\_Rest (*n* = 14 of 424 total cases), or where HR did not recover to a value equal to or less than their individual HR\_Rest (*n* = 13) were excluded from analyses. HR\_Max and HR\_Recovery values were averaged across scenarios to obtain a single value at each time point for each officer and were included in statistical analyses described in the following section.

### Statistical Analyses

To determine the number of participants necessary to detect effects, we used G\*Power to conduct a power analysis with the following parameters: effect size  $f = 0.25$ ,  $\alpha = 0.05$ , power  $(1 - \beta) = 0.8$ , number of groups (within-subjects) = 1, number of measurements (repeated-measures) = 4. The power analyses indicated a total sample size of 24 participants. Because our sample size at each time point exceeds this minimum, we have sufficient power to detect significant effects.

Use of force decision-making performance was operationalized by the error rate in use of lethal force at each time point. Error rates (risk ratio) were computed by dividing the total number of errors committed by all officers at each time point across all scenarios, by the total number of decision making opportunities at each time point. Computation of error rates was chosen as an appropriate method of analysis for our within-subject shoot/no shoot data. Results report the reduction in error rates relative to the pre-intervention baseline error rate.

Analyses of repeated-measures continuous physiological data (HR\_Max and HR\_Recovery) were conducted using generalized linear mixed model repeated-measures analyses of variance (ANOVAs)<sup>32</sup> to account for unequal sample sizes at each time point (Table 1). Analyses were conducted in SPSS software (Version 24; IBM Canada LTD, Ontario, Canada). The exact sample sizes used to compute significance tests are reported in the axis legends in each figure. HR\_Max had missing subject data post-intervention (*n* = 1) and at 18-month evaluations (*n* = 2) due to technical failure of the HR monitor. Missing subject data for HR\_Recovery pre-intervention (*n* = 1) and post-intervention (*n* = 8) were due to participants not returning to their average resting HR following critical incident

scenarios and having maximum HR lower than resting (*n* = 1). Two participants did not have HR\_Recovery data at 18-month evaluation due to technical failure. A two-tailed criterion value of *P* less than 0.05 was used to establish statistical significance. Least squared difference (LSD) pairwise comparisons were conducted where applicable to probe differences in outcome measures between time points.

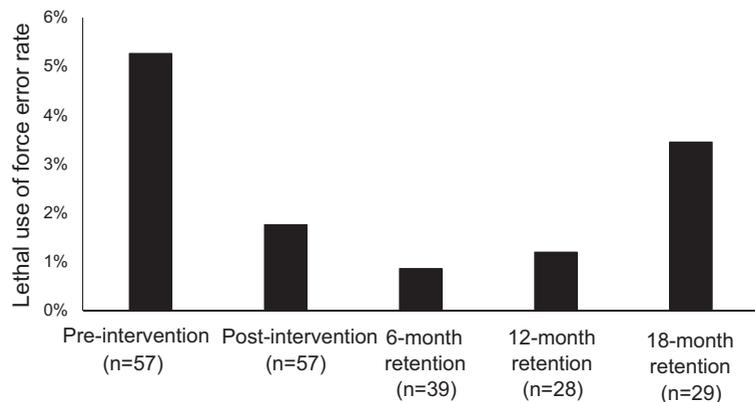
## RESULTS

### Impact of the Intervention on Lethal Force Decision-Making

To assess the impact of the intervention on lethal use of force decision-making (ie, performance), participant lethal force decision-making was assessed during critical incident scenarios. We calculated a risk ratio (number of errors/total number of decision-making opportunities) to assess the incidence of these high-stakes errors. The risk ratio indicated a relatively low incidence of lethal force decision errors pre-intervention, with only nine errors from a total of 171 decision-making opportunities (Fig. 1). Despite the low error at baseline, the intervention reduced subsequent post-intervention lethal force error rates by 67% to just three errors of a total 171 decisions. This reduction in performance error relative to pre-intervention was maintained at 6-month (84% reduction) and 12-month (77% reduction) follow-up, with only one error at each time point from a total of 117 and 84 decision-making opportunities, respectively. Although the lethal force error rate was still 35% below pre-intervention levels at 18-month evaluation (3 errors of 87 decision-making opportunities), it is an increase relative to all other post-intervention evaluation rates. All officers were exposed to the same scenarios in the same order. Scenarios were closely balanced in decisions of disinhibition<sup>6</sup> and inhibition.<sup>9</sup> The errors cannot be attributed to one single individual; with the exception of three instances (6 of all 17 errors in the study), all errors were made by different individuals. The majority of participants (80.7%, 46/57) returned for at least one follow-up evaluation, including those that made errors at baseline (eight of nine).

### Autonomic Arousal

To assess the impact of the intervention on the modulation of autonomic arousal, we evaluated the average index HR\_Max achieved during live action critical incident scenarios (see Methods for HR\_Max Index calculation and scenario-type descriptions). A manipulation check ensured that all scenarios significantly stimulated autonomic arousal relative to officers' individual resting HR (see Table 3). HR\_Max significantly increased post-intervention ( $P = 0.002$ ), decreased between 6 and 12 months ( $P = 0.000$ ), and remained lower than post-intervention levels at 12-month and 18-month evaluations ( $P = 0.000$ ) [ $F(4,57) = 8.894$ ,  $P = 0.000$ ] (Fig. 2). HR\_Max was lower than pre-intervention levels at



**FIGURE 1.** Lethal force error rates during critical incident scenarios before and after the physiological intervention. Performance (ie, correct shoot/no shoot decisions) was scored by expert Use of Force Instructors, and showed a 67% decrease in errors of lethal use of force following the physiological intervention (nine errors pre-intervention, three errors post-intervention of 171 opportunities), which was maintained at 6-month (1 error of 117 opportunities, 84% reduction) and 12-month (1 error of 84 opportunities, 77% reduction) evaluations. Gains in police performance began to reverse at 18-month retention evaluation (3 errors of 87 opportunities, 35% reduction relative to pre-intervention error rates). Retention of the participant sample was maintained throughout the study, with 81% of officers (46/57) returning for at least one follow-up evaluation conducted at 6, 12, and 18 months.

12-month follow-up ( $P=0.026$ ) but began to increase at 18-month evaluation ( $P=0.244$ ).

**Recovery**

To assess the impact of the intervention on the ability to recover from stressful police encounters, HR\_Recovery (the time it took officers to return to their own average resting HR in seconds) was measured. HR\_Recovery (Fig. 3) was significantly faster at 12-month follow-up relative to pre-intervention ( $p=0.042$ ), immediate post-intervention ( $P=0.009$ ), and 6-month evaluation ( $P=0.004$ ). HR\_Recovery was also faster at 18-month follow-up relative to post-intervention ( $P=0.019$ ), and 6-month evaluation ( $P=0.030$ ), approaching significance relative to pre-intervention recovery time ( $P=0.075$ ), but was not different from 12-month recovery time ( $P=0.177$ ) [ $F(4, 61)=5.094, P=0.001$ ]. HR\_Recovery is a valid measure of parasympathetic engagement when the measurement follows physical activity, as it did in the current study.<sup>10</sup> The variability in recovery time (shown by standard error bars) also decreased over time, demonstrating that the intervention was effective in reducing the number of outliers with extremely high or low recovery times.

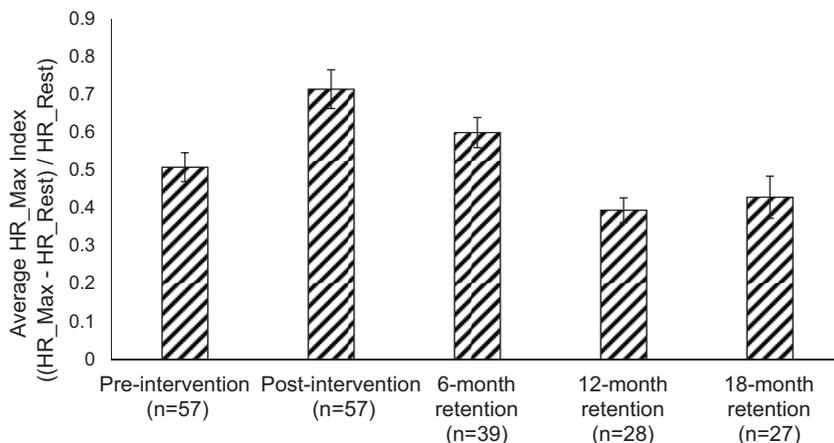
**DISCUSSION**

Our findings extend previous evidence supporting the efficacy of our HRV-BF intervention for enhancing police safety and health outcomes. The intervention, in conjunction with scenario-based training,<sup>25,28,33</sup> suggests dramatically reduced objective errors in lethal force decision-making across an 18-month period

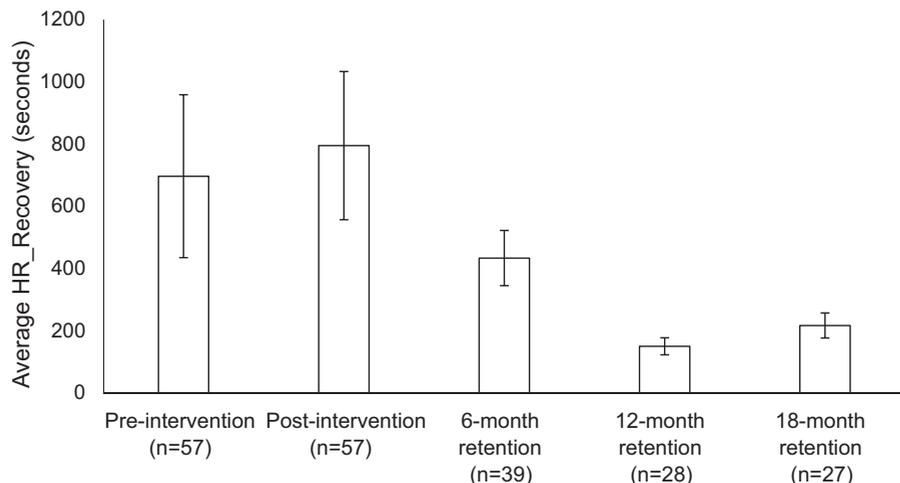
(Fig. 1). Results also show significant improvements in the modulation of, not simply the reduction of, autonomic arousal appropriate to situational demands (Fig. 2). This is evident by mapping improvements in autonomic regulation relative to reductions in lethal force errors (Fig. 4). Further, significant improvement in the ability to recover from autonomic arousal after each scenario (Fig. 3) suggests that officers’ parasympathetic engagement was enhanced by practicing the intervention techniques over a 12-month follow-up period. The rise in lethal force errors and autonomic arousal at 18-month evaluation indicates that police retraining or “booster” sessions are recommended at a minimum frequency of every 12 months to maintain gains in health and safety.

**Physiological Mechanism Underlying Gains in Performance and Health**

Our findings fit well within the literature linking parasympathetic activation and scenario-based training with improved decision-making and inhibitory control during potentially threatening encounters.<sup>18,19</sup> Autonomic arousal serves an important evolutionary advantage by promoting vigilance and attention to threat cues in the environment, while simultaneous parasympathetic activation balances the threat response by increasing perceptual accuracy. For example, researchers found that adults who were better able to regulate HR physiology in response to an acute social stressor (ie, parasympathetic engagement) displayed fewer inhibitory control errors on a rapid decision-making task.<sup>12</sup> In relation to the current study, our results showing an increase in HR\_Max during the post-intervention evaluation paired with low lethal force errors (Fig. 4)



**FIGURE 2.** Indices of autonomic arousal during stressful critical incident scenarios. Relative to their individual resting baseline HR, officers’ maximum heart rate (HR\_Max) increased significantly from pre- to post-intervention ( $P=0.002$ ). HR\_Max at 12 months was significantly lower than all previous time points, but plateaued during scenarios evaluated at 18-month retention [ $F(4, 57)=8.894, P=0.000$ ]. Error bars show standard error of the mean.



**FIGURE 3.** Autonomic recovery time following stressful critical incident scenarios. Recovery time (in seconds) from HR\_Max during critical incident scenarios to average resting baseline was significantly faster at 12-month follow-up relative to pre-intervention ( $P=0.042$ ), post-intervention ( $P=0.009$ ), and 6-month evaluations ( $P=0.004$ ). Recovery time was also faster at 18-month follow-up relative to post-intervention ( $P=0.019$ ) and 6-month evaluations ( $P=0.030$ ), but plateaued after 12-month follow-up [ $P=0.177$ ] ( $F(4, 61)=5.094$ ,  $P=0.001$ ). The variability (shown by error bars) in recovery time also decreased over time, demonstrating that the intervention was effective in reducing the number of outliers with extremely high or low recovery times. Error bars show standard error of the mean.

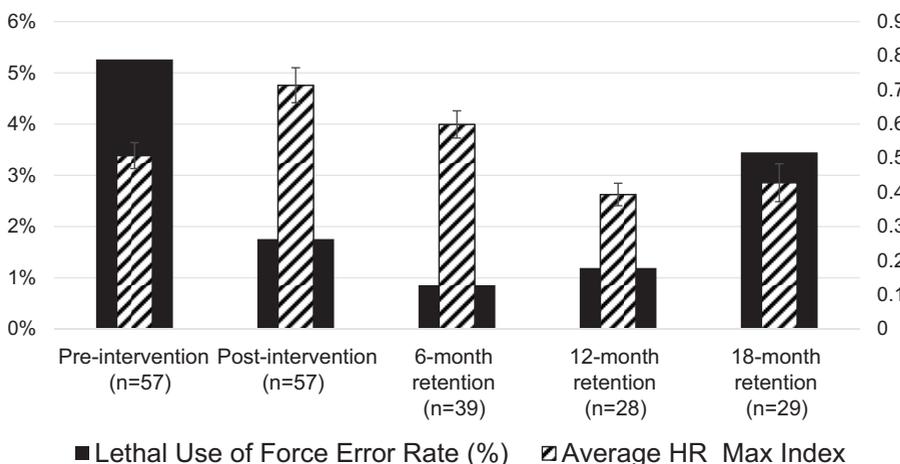
suggest that the intervention was successful in conditioning appropriate modulation of autonomic arousal to meet situational demands (ie, an active school shooting). Further, our results demonstrate that improvements in arousal modulation and lethal force decision making are sustained over time with continued practice.

Due to the physiologically demanding nature of shift work and multiple potentially threatening call outs per day, police are at risk of poor decision-making due to fatigue and allostatic load.<sup>21,24,34</sup> Experimental evidence suggests that HRV-BF practice enhances automaticity of parasympathetic activity, in turn mediating the physiological benefits of recovery following stress.<sup>20</sup> Just as with other physical skills, recovery is a physiological response that can be conditioned with repeated practice over time. Utilizing HRV-BF in combination with scenario-based training, the current intervention found improved recovery time following stressful encounters with continued practice. Specifically, recovery times ranged between 7 and 10 minutes at pre-intervention, post-intervention, and 6-month evaluations, and decreased significantly to 2.5 minutes at 12-month retention, and 3.6 minutes at 18-month retention (Fig. 3). Taken together, civilian and police research to date suggests that targeting parasympathetic regulation may be a valuable intervention for the enhancement of recovery and inhibitory control in general,<sup>12</sup> and specifically among police, inhibiting shooting when not appropriate.<sup>16</sup> The current findings lend support to using HRV-BF as an

effective and ecologically valid intervention that does not require specialized equipment or significant time commitment once learned, and can combat occupationally induced fatigue to improve health and safety (ie, lethal force decision-making) among police.

### Implications and Recommendations for Frequency and Duration of Training

The scientific literature does not indicate an exact duration or frequency of repeated physical practice to develop mastery or expertise of novel skills. It has been shown that the speed and proficiency of motor learning depends on many factors, including physicality, existing skill level (ie, novice vs expert learning), and the level of arousal during initial encoding.<sup>35-37</sup> There are indications that functional brain activity begins to be altered after learning a novel motor sequence as early as 5 days into training nonexperts,<sup>38</sup> and after 5 to 7 weeks of training among experts.<sup>39,40</sup> These learning-induced changes to performance and neurophysiology can persist 2 to 4 months post-intervention with no further practice<sup>41</sup> or even longer with continued practice and performance reflecting consolidation of novel procedural skills into implicit memory.<sup>39,42</sup> Encouraging research from cognitive psychology that examines many types of experts suggests that as learning is reinforced over years of deliberate practice and/or regular “refresher” training, changes to brain organization are further solidified.<sup>42-46</sup> Therefore,



**FIGURE 4.** Mapping autonomic arousal and lethal use of force decision-making errors. When considered together, increased autonomic arousal at post-intervention and 6-month retention intervals (hatched bars) coupled with reduced lethal force errors (black bars) suggest improved modulation of autonomic arousal that is matched to the demands of threatening and occupationally relevant scenarios. With continued practice, autonomic arousal modulation and resultant improvements in police use of force decision-making (reflected by reduced error rates) are sustained at 12 and 18 months, supporting the efficacy of the current intervention.

if the newly acquired skills are not rehearsed and done so repeatedly under a variety of conditions, there is a greater risk that they will fade.<sup>47</sup> Although there is no existing neurological evidence for the extent and duration of learning-induced plasticity in police officers, the current results are in line with existing research supporting physiologically mediated efficacy of scenario-based training in improving police decision-making under stress.<sup>48,49</sup> In addition, the current results demonstrate learning gains in performance after only 4 days of scenario training (Fig. 1), and in managing physiological stress responses and recovery after longer post-intervention durations. On the basis of this literature and the current findings, we recommend police use of force training should incorporate (1) scenario-based approaches that prepare the learner for a wide range of possible situations and outcomes in the real world,<sup>28,50–52</sup> and allows for concurrent training and evaluation to occur; (2) Physiologically targeted methodology, including HRV-BF to condition the modulation of, and recovery from, autonomic stress responses<sup>33,53</sup>; and (3) refresher or recertification training at least every 12 months to ensure retention and consolidation of skill learning.

Our results build upon a pilot randomized controlled trial (RCT) using the same intervention with law enforcement (advanced tactical officers),<sup>25</sup> indicating that the association between parasympathetic enhancement and improved lethal force decision-making occurs even in the presence of significant sympathetic arousal. In the RCT, all officers exhibited significant increases in HR arousal (65 to 91 bpm) during lethal force decision-making scenarios. Yet, officers in the experimental group who had received the HRV-BF intervention targeting parasympathetic enhancement exhibited significantly fewer errors in lethal use of force than officers in the control group despite significant sympathetic arousal.<sup>25</sup> The current study extends these findings by demonstrating a maintenance in gains to performance and health up to 12 months post-intervention, after which errors in lethal use of force, autonomic arousal, and autonomic recovery times plateau. Although these measures do not return to pre-intervention levels, they suggest an optimal window for police retraining to be within 12 months. The increase in error rate from 12 to 18 months is not associated with a change in the MaxHR\_Index. This suggests that the increase in error rate is associated with more subtle changes in stress physiology such as changes in HRV characteristic of parasympathetic withdrawal. This is an area for future investigation using a larger dataset.

## Limitations

Given the significant challenges of conducting a longitudinal repeated-measures RCT within an ecologically valid setting, a control group was not included in this study. However, a within-subjects design allowed us to maximize power to detect effects and reveal the potential long-term maintenance of intervention gains (see Statistical Analysis section in Methods). In addition, we maintain a high level of participant retention, with 81% (46 of 57) of officers returning for at least one follow-up evaluation conducted 6, 12, and 18 months post-intervention. This level of attrition is especially impressive considering participants were active duty officers who took part in the study on a voluntary basis and did not receive extra compensation for their time and participation in the study.

## IMPLICATIONS AND CONCLUSION

When considering the gravity of lethal force errors for public and police safety, any improvements in training lethal force decision-making can be translated into potential lives saved. Understanding the objective, physiological, and largely unconscious nature of the threat appraisal process and its associated behavioral responses sheds light on why occupational interventions that target

purely cognitive pathways (eg, attitude change) or repetitive weapons practice (eg, traditional use of force training) may not result in dramatic reductions in lethal force decision errors related to threat responding. Our work addresses the broader scientific endeavor to understand the interaction between physiology and ecologically valid decision-making during high-stakes events. Simultaneously, our results reveal an empirically based approach to rapidly reducing objective errors in lethal force decisions among police, an application of significant societal concern.

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